

DO/US WORKSHEET

U.S. Appl. No. 10 / 566542

Internatio. Appl No. GB 04 / 003289

Application filed by: ☐ 20 months ☒ 30 months

INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE:

<input checked="" type="checkbox"/> International application (RECORD COPY)	<input type="checkbox"/> Request form PCT/RO/101
<input type="checkbox"/> Article 19 amendments	<input type="checkbox"/> PCT/IB/302
<input type="checkbox"/> PCT/IB/331	<input checked="" type="checkbox"/> PCT/ISA/210-Search Report
<input checked="" type="checkbox"/> PCT/IPEA/409 IPER (PCT/IPEA/416 on front)	<input type="checkbox"/> Search Report references
<input type="checkbox"/> Annexes to 409	<input type="checkbox"/> Other _____
<input checked="" type="checkbox"/> Priority document(s) No. <u>1</u>	
<input type="checkbox"/> INTERNATIONAL APPLICATION ON DOUBLE SIDED PAPER (COPIES MADE)	

RECEIPTS FROM THE APPLICANT: (other than checked above)

<input checked="" type="checkbox"/> Basic National Fee (paid or authorized to charge)	<input checked="" type="checkbox"/> Preliminary amendment(s) filed
Translation of international application as filed:	
<input checked="" type="checkbox"/> Description	<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Claims	<input type="checkbox"/> Assignment document
<input type="checkbox"/> Words in the drawing figure(s)	<input type="checkbox"/> Power of attorney/Change of address
<input type="checkbox"/> Article 19 amendments	<input type="checkbox"/> Substitute specification
<input type="checkbox"/> Annexes to 409	<input type="checkbox"/> Verified small status claim
<input checked="" type="checkbox"/> Oath / Declaration <i>unexecuted</i>	<input type="checkbox"/> Other _____
<input type="checkbox"/> DNA diskette	

Notes: Use IA from IB.

No data sheet filed.

35 U.S.C. 371 - Receipt of Request (PTO-1390)
27 Jan 06
Date acceptable oath / declaration received <u>12-18-06</u>
Date complete 35 U.S.C 371 requirements met
102(e) Date
Date of completion of DO/EO 906 - Notification of Missing 102(e) Requirements
Date of completion of DO/EO 907 - Notification of Acceptance for 102(e) date
Date of completion of DO/EO 911 - Application accepted under 35 U.S.C. 1.11
Date of completion of DO/EO 905 - Notification of Missing Requirements
Date of completion of DO/EO 916 - Notification of Defective Response
Date of completion of DO/EO 903 - Notification of Acceptance <u>4-13-07</u>
Date of completion of DO/EO 909 - Notification of Abandonment

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/566,542	Philip Caunt	37642-3

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INTERNATIONAL APPLICATION NO.

PCT/GB04/03289

I.A. FILING DATE

07/29/2004

PRIORITY DATE

07/30/2003

CONFIRMATION NO. 5864

371 FORMALITIES LETTER

OC000000019254056

Date Mailed: 06/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/27/2006
- Copy of the International Search Report filed on 01/27/2006
- Copy of IPE Report filed on 01/27/2006
- Preliminary Amendments filed on 01/27/2006
- Information Disclosure Statements filed on 05/05/2006
- Oath or Declaration filed on 01/27/2006
- U.S. Basic National Fees filed on 01/27/2006
- Priority Documents filed on 01/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

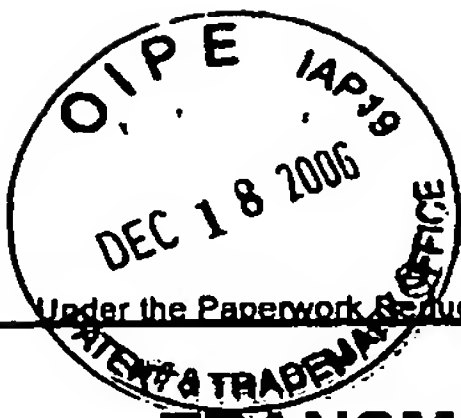
*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/566,542	PCT/GB04/03289	37642-3



IAP6 Rec'd PCT/PTO 18 DEC 2006

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PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/566,542	
	Filing Date	December 13, 2006	
	First Named Inventor	Philip Caunt	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	37642-3

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Thelen Reid Brown Raysman & Steiner LLP		
Signature			
Printed name	Todd A. Holmbo		
Date	December 13, 2006	Reg. No.	42,665

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Jo Ann M. Kunkle	Date	December 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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